**Spectroscopic Identification of Organic Molecules** 

# <sup>1</sup>H NMR Spectroscopy

Exclusively for the summer course at East China University of Science and Technology

2007.07.12

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Not for sale or distribution but only for the class

# <sup>1</sup>H NMR Spectroscopy

- 1. Basic Theory of NMR
  - 1.1 Magnetic Properties of Nuclei



## Experiment by Stern-Gerlach: A beam of H-atoms splits into two by a magnetic field.

**TABLE 3.1** Type of nuclear spin number, *I*, with various combinations of atomic mass and atomic number.

I	Atomic Mass	Atomic Number	Example of Nuclei
Half-integer	Odd	Odd	${}^{1}_{1}\mathrm{H}(\frac{1}{2}), {}^{3}_{1}\mathrm{H}(\frac{1}{2}), {}^{15}_{7}\mathrm{N}(\frac{1}{2}),$ ${}^{19}_{9}\mathrm{F}(\frac{1}{2}), {}^{31}_{15}\mathrm{P}(\frac{1}{2})$
Half-integer	Odd	Even	$^{13}_{6}C(\frac{1}{2}),  ^{17}_{8}O(\frac{1}{2}),  ^{29}_{14}Si(\frac{1}{2})$
Integer	Even	Odd	${}^{2}_{1}H(1), {}^{14}_{7}N(1), {}^{10}_{5}B(3)$
Zero	Even	Even	${}^{12}_{6}C(0), {}^{16}_{8}O(0), {}^{34}_{16}S(0)$

#### 1.2 Spinning Nuclei in a Magnetic Field

A spinning nucleus (I = 1/2) in a uniform magnetic field (Bo):

Precessional motions along side ( $\alpha$ , +1/2) and the opposite side ( $\beta$ , -1/2) to the applied magnetic field. Precessional angle  $\rightarrow$  54.5°.

**Precessional frequency (ω):** Larmor frequency,

 $\boldsymbol{\omega} = (1/2\pi) \cdot \boldsymbol{\gamma} \cdot \mathbf{B}_0 = \{\boldsymbol{\mu} / (\mathbf{I} \cdot \mathbf{h})\} \cdot \mathbf{B}_0 \quad \because \boldsymbol{\gamma} = \boldsymbol{\mu} / p = \boldsymbol{\mu} / (\mathbf{I} \cdot \mathbf{h}) = 2\pi \boldsymbol{\mu} / (\mathbf{I} \cdot \mathbf{h})$ 

Zeeman Level ( $\Delta E$ ) =  $2\mu \cdot B_0$ 



**FIGURE 3.3** Classical representation of a proton precessing in a magnetic field of magnitude  $B_0$  in analogy with a precessing spinning top.





$$\frac{N_{\beta}}{N_{\alpha}} = e^{-\Delta E/k_B T} \approx 1 - \frac{\Delta E}{k_B T} = 1 - \frac{\gamma \hbar B_0}{k_B T} \quad (1-10)$$

where  $k_B$  is the Boltzmann constant (= 1.3805 x 10<sup>-23</sup> J K<sup>-1</sup>) and T is the absolute temperature in K.



**FIGURE 3.2** Two proton energy levels in a magnetic field of magnitude  $B_0$ . *N* is population of spins in the upper  $(N_\beta)$  and lower  $(N_\alpha)$  energy states. The direction of the magnetic field  $(B_0)$  is up, parallel to the ordinate, and field strength  $(B_0)$  increases to the right. Larger  $(B_0)$  fields increase  $\Delta E$ .



Example)  $B_0 = 1.41 \text{ T} (60 \text{ MHz}) \text{ at } 300 \text{ K} (27 \degree \text{C}),$   $\Delta E \approx 2.4 \text{ x } 10^{-2} \text{ J/mole}$   $N_\beta \approx 0.9999904 N_\alpha$ For 300 MHz,  $N_\beta \approx 0.999995 N_\alpha$ 

#### 1.3 NMR Experiment

Alternating magnetic field  $B_1$ , which is perpendicular to  $B_0$  is applied to the sample to induce the transition between the Zeeman levels.

 $\Delta \mathbf{E} = \mathbf{h}\mathbf{v} = (\mu/\mathbf{I}) \cdot \mathbf{B}_0$ , allowed transition:  $\Delta \mathbf{m} = \pm 1$ .



Spin-lattice relaxation:

Photon without radiation, giving energy to the vibrational system.

Photon with radiation (minor effect)

Relaxation time: T<sub>1</sub>

Spin-Spin relaxation time:

Spin exchange

Relaxation time: T<sub>2</sub>

#### $\mathbf{B}_{\text{effective}} = \mathbf{B}_0 - \alpha \cdot \mathbf{B}_0 = \mathbf{B}_0 \cdot (1 - \alpha)$

 $\alpha$ : diamagnetic shielding constant – reflects chemical and magnetic environments of the nuclei.

 $\alpha$ : 10<sup>-5</sup> (proton) ~ 10<sup>-2</sup>, if B<sub>0</sub> = 15 K gauss (~ 60 MHz), then B<sub>0</sub>· $\alpha$  = 0.15 gauss = ~ 600 Hz.

#### 2. Chemical Shift $\delta$ (ppm): Dimensionless parameter, independent of B<sub>0</sub>

Chemical shifts have their origin in **diamagnetic and paramagnetic shielding effects** produced by circulation of both bonding and non-bonding electrons in the neighborhood of the nuclei.

 $\delta_{AB} = (v_A - v_B)/B_0$ Example)  $B_0: 300 \text{ MHz}, v_A - v_B = 150 \text{ Hz}$  $\delta_{AB} = 150 \text{ Hz} / 300 \text{ MHz} = 150 \text{ Hz} / 300 \text{ x} 10^6 \text{ Hz} = 0.5 \text{ ppm}$ 

**B**<sub>0</sub>: 600 MHz,  $v_{\rm A} - v_{\rm B} = 300 \text{ Hz}$ 

 $\delta_{AB} = 300 \text{ Hz} / 600 \text{ MHz} = 300 \text{ Hz} / 600 \text{ x} 10^{6} \text{ Hz} = 0.5 \text{ ppm}$ 



(in CDC1<sub>3</sub>) for comparison.

#### Reference compound $\delta = 0$ ppm.

- (1) TMS (tetramethylsilane)
- (2) DSS (sodium 2,2-dimethyl-2-silapentane-5-sulfonate)

$$-$$
Si $-$ TMS  $-$ Si $-$ CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>SO<sub>3</sub>Na<sup>+</sup> DSS

Chemical shifts referred to DSS or TMS agree within ~0.02 ppm. The sharp singlet is not influenced by pH changes. The multiplet of  $CH_2$  in DSS are not significant at concentrations < 1%.



• Empirical Additive Rules (1) Dailey, Shoolery's Rule (*J. Am. Chem. Soc.* 1955, 77, 3977) X-CH<sub>2</sub>-Y  $\tau = 9.77 - \sum S(\delta)$   $\delta = 10 - \tau$  $\delta = 0.23 + \sum S(\delta)$ 

(2) Electronegativity of X
 CH<sub>3</sub>-CH<sub>2</sub>-X
 E.N. (X) = 0.684 · (δ<sub>CH2</sub> - δ<sub>CH3</sub>) + 1.78

**Table 4.3** Substituent constants,  $S(\delta)$ , for proton resonances in substituted methanes

Substituent	<i>S(δ</i> ) (ppm)
Cl	2.53
Br	2.33
Ι	1.82
NRR'	1.57
OR	2.36
SR	1.64
CR-O	1.70
CR = CR'R'	1.32
C≡CH	1.44
C≡N	1.70
CH <sub>3</sub>	0.47
Phenyl	1.85
OH	2.56
OCOR	3.13
COOR	1.55
CF <sub>3</sub>	1.14

#### **3.** Spin-Spin Coupling Constants (J)

Nuclei changes the local magnetic field (effective magnetic filed) of each nucleus by attractive or repulsive interaction of each magnetic quantum number. Since nuclear magnetic moments are independent of the applied field  $B_0$ , so is the coupling constant J.

AX system:  $\delta_{AB} \cdot B_0 / J \ge 10$ 

Since  $\delta_{AX}$  =  $(\nu_A-\nu_B)/$   $B_0,$  then  $\left(\nu_A-\nu_B\right)/$  J  $~\geq~10$  AX System



H<sub>A</sub>-C-CH<sub>2</sub> and H<sub>A</sub>-C-CH<sub>3</sub>

CH <sub>2</sub> group	<b>m</b> <sub>T</sub>	CH <sub>3</sub> group			m <sub>T</sub>
αα	+1		ααα		$+\frac{3}{2}$
αβ βα	0	ααβ	αβα	βαα	$+\frac{1}{2}$
ββ	-1	αββ	βαβ βββ	ββα	$-\frac{1}{2}$ $-\frac{3}{2}$



**FIGURE 3.32** Pascal's triangle. Relative intensities of first-order multiplets; n = number of equivalent coupling nuclei of spin 1/2 (e.g., protons).

Characteristic splitting patterns in the <sup>1</sup>H NMR spectra of some alkyl groups.



# 3-1. Vicinal H-H Couplings (<sup>3</sup>J<sub>HH</sub>)

(1) The couplings in both saturated and unsaturated systems are largely transmitted via the  $\sigma$ -electrons, and these are always positive.

In general, 
$${}^{3}J(sp^{2}-sp^{2}) > {}^{3}J(sp-sp) > {}^{3}J(sp^{3}-sp^{3})$$
  
25 ~ 7 Hz 9.1 Hz 12 ~ 2 Hz

#### (2) Dihedral angle dependency

Karplus (1963):  $J = 4.22 - 0.5 \cdot \cos \phi + 4.5 \cdot \cos^2 \phi$ 



**FIGURE 3.57** The vicinal Karplus correlation. Relationship between dihedral angle ( $\phi$ ) and coupling constant for vicinal protons.



Figure 4.22 The Karplus curve for the dependence of vicinal H - H coupling on the dihedral angle  $\phi$ : line, theoretical curve; shaded area, range of empirical results

# A. Six-membered Ring Systems (all sp<sup>3</sup> carbons)



**TABLE 3.6.** Calculated and observed coupling constants, J, in cyclohexanes based on bond angle.

	Dihedral Angle	Calculated J(Hz)	Observed J(Hz)
Axial-axial	180°	9	8-14 (usually 8-10)
Axial- equatorial	60°	1.8	1-7 (usually 2-3)
Equatorial- equatorial	60°	1.8	1-7 (usually 2-3)

#### **Examples**









<sup>(2)</sup> The value of J is reduced by an electron withdrawing group



3 Anomeric effect

(4)





<sup>(5)</sup> Small <sup>3</sup>J

a. dihedral angle ~90°



b. epoxide H's













 $0^{\circ} \le \theta \le 90^{\circ}$  $J = 6.6 \cdot \cos^{2}\theta + 2.6 \cdot \sin^{2}\theta$  $90^{\circ} \le \theta \le 180^{\circ}$  $J = 11.6 \cdot \cos^{2}\theta + 2.6 \cdot \sin^{2}\theta$ 

6.6 (0°), 2.7 (90°), 11.7 (180°)

Examples



#### C. Amino Acid Derivatives



(3) CH–CH–X: Increasing electro-negativity of X gives smaller  ${}^{3}J$  ${}^{3}J = J_{2} - \alpha$ : Ex. electronegativity of X:

$^{3}J = J_{0} - \alpha \cdot E_{X}$	Ex: ele	ctronegativity o	f X; $J_0, \alpha$ :	constants
	J	<b>J</b> <sub>0</sub> (Hz)	α (Hz)	
CH <sub>3</sub> –CH <sub>2</sub> X	<sup>3</sup> J	9.4	0.7	
H <sub>C=C</sub> H	<sup>3</sup> J <sub>cis</sub>	24.5	4.2	
н Х	<sup>3</sup> J <sub>trans</sub>	27.3	3.5	
H H	$^{3}J_{cis}$	17.7	2.4	
н Х	<sup>3</sup> J <sub>trans</sub>	13.6	2.8	
CICI				
٨	$^{3}J_{cis}$ or $J_{BC}$	12.6	1.4	
H <sub>B</sub>	$^{3}J_{trans}$ or $J_{AC}$	8.8	1.7	
H <sub>C</sub>				
X ^				



Examples





# 3-2. Geminal H-H Couplings ( $^2J_{HH}$ ): –20 ~ +40 Hz

- (1) Factors that influence the value of  $^{2}J$ 
  - a. S-P Hybridization

 $H \xrightarrow{\theta}_{C} H$  As  $\theta$  increases, more s-character in C–H that gives higher value of <sup>2</sup>J

Methane (CH<sub>4</sub>) sp<sup>3</sup>  ${}^{2}J = -12.4$  Hz Ethylene (CH<sub>2</sub>=CH<sub>2</sub>) sp<sup>2</sup>  ${}^{2}J = +2.5$  Hz  $H^{2}$   ${}^{2}J = -13.0$   $H^{2}$   ${}^{2}J = -4.0$ 

b. Electronegative atom in  $\alpha$ -position leads to a positive shift in  $^2J_{HH}$  (Inductive effect) Examples



c. Eletronegative atom in  $\beta\mbox{-}position$  leads to a negative shift in  $^2J_{HH}$  Example

1



1. $\alpha$ -Substitution	ı		
Сн	- 12.4	O ↓>C <sub>H₂</sub>	+ 5.5
с <sub>Н3</sub> СI	- 10.8	RN=C <sub>H<sub>2</sub></sub>	+ 16.5
C <sub>H3</sub> Cl₂	- 7.5	0=C <sub>H2</sub>	+42.2
HN_CH2	+ 2.0		- 6
CI CH2	± 1.5		0
2. β-Substitution	n		
H H H C=C H	+ 2.5	$c_{L}$ $c_{L$	- 1.4
FC=C	- 3.2	$R_2P$ $c=c H_H$	+ 2.0
H <sub>3</sub> CO H <sub>3</sub> CO	- 2.0	H Li C=C H	+ 7.1
3. Adjacent π bo	nds		
C H <sub>\$</sub> CN CN−C H <sub>2</sub> −CN	- 16.9 - 20.4	<u>с</u> -с <sub>H3</sub>	- 14.5

 Table 4.10
 The influence of substituents on geminal-coupling constants

d. Hyper-conjugation effects

Electron withdrawing substituents which take electrons from antisymmetric orbitals of CH<sub>2</sub> give negative contribution.

- 19.2 Hz

– 20.3 Hz

Examples

1







# ◆ Determination of J<sub>HH</sub> when two protons are chemically equivalent Use JHD J<sub>HH</sub> = 6.514 x J<sub>HD</sub> CHDCl<sub>2</sub> J<sub>HD</sub> = -1.15 Hz ∴ J<sub>HH</sub> = (-1.15) x 6.514 = -7.5 Hz



# <sup>3</sup>J from <sup>13</sup>C-satellite proton resonance

J. Org. Chem. 1983, 48, 4139



	H <sub>9</sub> ,H <sub>10</sub> δ (ppm)	$^{3}J_{9,10}(Hz)$	$^{1}J_{H-C}$ (Hz)
Diol	4.61	10.4	142.2
Diacetate	6.07	5.6	152.5

# **3-3 Long-range couplings**

Review:

Chem. Rev. 1969, 69, 757.

Pure & Appl. Chem. 1964, 14, 15.

(1) Sigma ( $\sigma$ ) – bond couplings

 $^{2}J \geq ^{3}J \gg ^{4}J$  $4 \sim 12 \text{ Hz}$  usually 0 Hz

## a. W-letter Rule or W-coupling (or M-coupling)



J. Am. Chem. Soc. 1961, 83, 2769.

#### Examples



#### **Stereochemical applications**

(1)  $3\beta$ -acetoxy-20-oxo-13 $\beta$ ,28-epoxy-30-lupane (Ring D/E junction: cis or trans?)



4.02 (dd, J = 7.3, 2.0 Hz, 1H) 3.09 (d, J = 7.3 Hz, 1H)



 $H_A$  and  $H_B$  should have <sup>4</sup>J coupling in this structure



**18β-H, 19α-H** This is the righ structure

② Structure of chamaecynone (*Tetrahedron Lett.* 1966, 3663)





c. To distinguish three and erythree isomers of certain  $\alpha$ -glycols and related compounds



# (2) ${}^{5}J \sigma$ -bond couplings



# (3) Coupling in unsaturated systems

# a. Aromatic compounds

 $\mathbf{J}_{AB} = \mathbf{J}_{AB}(\pi) + \mathbf{J}_{AB}(\sigma)$ 

**J**<sub>AB</sub>( $\pi$ ): generally small (~ 2Hz); not much change in bond order of  $\pi$  orbital (P<sub>AB</sub>).

P <sub>A,B</sub>		$J_{AB}(\pi)$ calculated	J <sub>AB</sub> (total) observed
P <sub>1,2</sub>	0.67	0.80	8~9
P <sub>1,3</sub>	0.00	0	2~5
P <sub>1,4</sub>	-0.17	0.05	0.5



P <sub>A,B</sub>		$J_{AB}(\pi)$ calculated	J <sub>AB</sub> (total) observed
P <sub>1,2</sub>	0.72	0.95	8.6
P <sub>2,3</sub>	0.60	0.66	6.0
P <sub>1,3</sub>	0.00	0	1.4
P <sub>1,4</sub>	-0.36	0.23	0.6

## b. Unsaturated non-aromatic compounds

Karplus, M. J. Am. Chem. Soc. 1960, 82, 4432.

	$J_{AB}(\pi)$	$J_{AB} \left( J_{AB}^{\pi} + J_{AB}^{\sigma} \right)$
Н-С=С-Н	+1.5	+7~+18
H−C≡C−H	+4.6	+9.1
Н-С=С-С-Н	-1.7	$-1.4 \sim -1.8$
Н−С≡С−С−Н	-3.7	-2.3
Н-С=С=С-Н	-6.7	-7.0 ~ -6.1
Н-С-С=С-С-Н	+2.0	+2.0
Н−С−С≡С−С−Н	+2.9	+2.7
Н-С=С=С=С-Н	+7.8	+8.95

## Examples







Examples





Generally, transoid coupling > cisoid coupling <exceptions>



d. Homoallylic coupling:  ${}^{5}J = 0.6 \sim 3.0 \text{ Hz}$ 









2





J<sub>9a,12a</sub> = 3.5 Hz J<sub>9a,12e</sub> = 2.9 Hz

3





④ Interbenzylic couplings



#### 1/3 of typical homoallylic couplings

5 1,4-Dihydrobenzene systems – IJI: usually large

12

ÌH

Ĥ

Н



#### f. Long-range couplings in polycyclic aromatic systems

① polynuclear heterocyclic system: zig-zag path



O J\_Ar-CH,Ar-H: Stronger J if coupled via a "localized" double bond.



## g. Zigzag couplings in simple aromatic phenols



# 3-4. $^{2}J_{HD}$ : small and broad

D: 0.015% Natural abundance; H: 99.985 %

D: I (spin quantum number) = 1,

possible spin states = -1, 0, +1  $\rightarrow$  triplet

 ${}^{2}J_{HD} = 2.3$  Hz, quintet (5) Possible spin state = -2, -1, 0, +1, +2 <u>Only  ${}^{2}J_{HD}$  can be detected</u>.



$$^{2}J_{HD} = 1.9$$
 Hz, quintet

\* Isotope Chemical Shift

CH<sub>4</sub> CH<sub>3</sub>D 0.019±0.001 ppm up-field shift CH<sub>2</sub>D<sub>2</sub> 0.027±0.003 CHD<sub>3</sub> 0.045±0.004

 $\begin{array}{c} \textcircled{2} & CH_3COCH_3 \\ & CD_3COCH_2D & 0.034 \pm 0.001 \text{ ppm up-field shift} \\ \textcircled{3} & {}^{12}CF_3H \end{array}$ 

<sup>13</sup>CF<sub>3</sub>H 0.126 ppm up-field shift

# 3-5. <sup>14</sup>N–H Coupling

 $^{14}$ N: Natural abundance 99.63%; I (spin quantum number) = 1

 $^{1}$ J<sub>14N-H</sub>

(1)

- a. Fast exchanging H: sharp and singlet without N-H coupling
- b. Slow exchanging H: broad peak around 2.0~2.4 ppm (quadrupole relaxation) cf. amide–H: 6–9 ppm

c.  $R_3N^+$ -H:  ${}^1J_{+N-H} = \sim 50-60$  Hz, triplet (broad)

Examples

②  $CH_3CH_2CH_2NH_3^+$  ${}^{1}J_{14NH} = \sim 50 \text{ Hz at } 6.7 \text{ ppm}$ 



• NMR spectrum of

$$Br^{-}_{N+} H_{K} = 5.5 \text{ Hz} \quad {}^{3}J_{14NHA} = 5.5 \text{ Hz} \quad {}^{3}J_{14NHK} = 2.6 \text{ Hz} \quad {}^{2}J_{14NHM} = 3.5 \text{ Hz}$$

$$|^{2}J_{14NCH3}| = 0.5 \text{ Hz} \quad {}^{3}J_{HKHM} = 14.8 \text{ Hz} \quad {}^{3}J_{HAHM} = 8.3 \text{ Hz} \quad {}^{2}J_{HAHK} = -4.1 \text{ Hz}$$

# 3-6. <sup>15</sup>N–H Coupling

<sup>15</sup>N: Natural abundance = 0.36%; I (spin quantum number) = 1/2<sup>15</sup>N-enriched compounds should be prepared! %S = 0.43 x <sup>1</sup>J(<sup>15</sup>N-H) – 6  $%S = 100\cos\phi(\cos\phi-1)$ φ: bond angles between N and its substituents <sup>+</sup>NH<sub>4</sub>:  $\phi = 107.3^{\circ} \rightarrow 22.9\%$  S-character <sup>+</sup>NH<sub>4</sub>:  ${}^{1}J_{15NH} = 73.2 \text{ Hz} \rightarrow 25.47\% \text{ S-character}$ 

# $^{1}J_{15NH}$

$$\begin{array}{c|c} -\mathrm{NH}_2 & \mathbf{62} \ \mathrm{Hz} \ (\mathbf{20.7}) & & & \\ \mathrm{R}-\overset{+}{\mathrm{NH}}_3 & \mathbf{73}-\mathbf{76} \ \mathrm{Hz} \ (\mathbf{26.7}) & & \\ \mathrm{R}-\overset{O}{\mathrm{C}} & & \\ \mathrm{R}-\overset{O}{\mathrm{C}}-\mathrm{N-H} & \mathbf{88}-\mathbf{92} \ \mathrm{Hz} \ (\mathbf{33.6}) & & \overset{\mathsf{Ph}}{\mathrm{Ph}} \overset{+}{\mathrm{NH}}_2 & \mathbf{92.6} \ \mathrm{Hz} \ (\mathbf{33.3}) \end{array}$$

# 3-7.<sup>13</sup>C–H Coupling

<sup>13</sup>C: Natural abundance 1.11%; I (spin quantum number) = 1/2S-character of C–H bond  $%S = 0.20 \cdot {}^{1}J_{13C-H}$ 



Based on INDO–MO calculation,

Improved equation

 $%S = (^{1}J_{13CH} + 18.4)/5.7$ 

Calculated  ${}^{1}J_{13CH} = 189 \text{ Hz}$ C H Observed  ${}^{1}J_{13CH} = 180 \text{ Hz}$ 

# 3-8. <sup>31</sup>P–H Coupling

<sup>31</sup>P: Natural abundance 100%; I (spin quantum number) = 1/2<sup>2</sup>J ~ <sup>3</sup>J

$$\begin{array}{c} | \\ -P-C-H \\ -P-C-C-H \\ -P-O-C-H \end{array}$$

$$\begin{array}{c} 2 \\ J_{31PH} = 3 \sim 25 \text{ Hz} \\ J_{31PH} = 3 \sim 25 \text{ Hz} \\ -P-O-C-H \end{array}$$

$$RO - P + H = 515 \sim 695 \text{ Hz} \qquad R + P + H = 515 \sim 695 \text{ Hz} \qquad R + P + H = 7525 \text{ Hz}$$

$$X = 0. \text{ S}$$

Examples

② CH<sub>3</sub>−PH<sub>2</sub>: <sup>1</sup>J<sub>31PH</sub> = 207 Hz ④

O H<sub>2</sub>C-O-P-OCH<sub>3</sub> H H 11.9 Hz













<sup>2</sup>J<sub>H1F</sub> = 49 Hz <sup>3</sup>J<sub>H2axF</sub> = 43.5 Hz <sup>3</sup>J<sub>H2eqF</sub> < 3 Hz









2

F









9





## 4. Environmental Effect

## 4.1 Sample

Sensitivity ∝ N·{(]	$(+1)/I^{3}$	$\mu^3 \cdot B_0^2$
Relative sensitivity:	$^{1}\mathrm{H}$	1.00
	<sup>19</sup> F	0.834
	<sup>13</sup> C	0.0159
	$^{2}H$	0.0096

## 4.2 Solvent Effect

• Solvent effect on the **external standard** – Sample peaks move on the solvent used, but no effect on the external standard (reference).

• Solvent effect on the **internal standard** – Both sample and the reference peaks are subjected to the solvent effect.

# • Chemical interaction with a solvent

#### (a) Solvation

CI-

(i) H-bonding with a solvent (including  $\pi$ -complex formation)

CI -OH, -NH, -CO<sub>2</sub>H, PhOH have a large temperature-dependent solvent shift.

#### Solvation with benzene





③ Carbonyl reference plane rules





(ii) Indirect solvent effect caused by solvation on the near-by functional groups

CH<sub>3</sub>'s are non-equivalent

2

Chemical shift in CCl<sub>4</sub> and in pyridine are different.

# (b) Proton exchange (-OH, -NH<sub>2</sub>, -----) -OH + XH\* $\longrightarrow$ -OH\* + XH $\tau^* = \sqrt{2/(\pi \cdot \Delta \nu)}$

 $\tau^*$ : life time;  $\Delta\nu$ : chemical shift difference between –OH and –XH\* in Hz

Solvent effect: fixation of exchangeable H's by forming strong H-bond with DMSO or acetone.

\* Add D<sub>2</sub>O to make sure of the presence of alcoholic proton.



Intramolecular H-bonding ( $\delta$  in cyclohexane)



# 5. Chemical Shift – semi-quantitative considerations 5.1. Factors responsible for chemical shifts

 $H_{effect} = H_0 \cdot (1 - \sigma)$ 

 $\sigma$  = shielding constant:  $4\pi e^2/(3mc^2)\int rp(r)dr = 17.8$  ppm for H

If a hydrogen atom is placed in an electric field E (in esu unit),  $\Delta \sigma = - (881/226) \cdot (a^3 E^2/mc^2) = -0.74 \times 10^{-18} E^2 \text{ (downfield shift)}$ a: Bohr radius, 0.529 x 10<sup>-8</sup> cm for H m: weight of electron

## Examples

- (a) a unit charge located at 1Å, 1.5Å, 2Å  $E = 4.8 \times 10^{6}$ , 2.14 x 10<sup>6</sup>, 1.2 x 10<sup>6</sup> esu  $\Delta \sigma = 17$  ppm, 3.4 ppm, 1.1 ppm downfield shift
- (b) a dipole

 $E = \mu (1 + 3\cos^2 \theta)^{1/2} / R^3$ 

μ: dipole moment, R: distance



4 ~5 ppm electric field effect of the dipole

Dipole moment of C=O is ca. 2 x  $10^{-10}$  esu  $\sigma_E = -4.6 x 10^{-6} (4.6 \text{ ppm downfield shift by the C=O dipole})$  1 Local diamagnetic effects

Dailey, Shoolery rule

CH<sub>3</sub>-CH<sub>2</sub>-X system

Electronegativity of X =  $0.684 \cdot (\delta_{CH2} - \delta_{CH3}) + 1.78$  in ppm =  $0.02315 \cdot (\Delta CH_3 - \Delta CH_2) + 1.71$  in Hz

Assumption

1) paramagnetic contribution  $\approx 0$ 

2) neighboring atom's contribution  $\approx$  constant

2 Paramagnetic effects - induced dipole by polarizable atom (directional)

"Due to non-spherical electron distribution of the particular atom"  $\rightarrow$  downfield shift

※ Temperature-independent paramagnetizm

\* Paramagnetic effects can be neglected in <sup>1</sup>H NMR, but important for <sup>13</sup>C, <sup>19</sup>F NMR.

# ③ The magnetic anisotropy effect of neighboring groups

Magnetic susceptibility ( $\chi$ )

 $\mu = \chi H_0$ 

 $\mu$ : Induced magnetic dipole moment in  $H_0$ .





In a magnetic field  $H_0$ , H atom experiences secondary magnetic field H'  $H' = -H_0 \cdot \Delta \chi_z (1 - 3\cos^2 \theta)/3R^3$  McConnell's equation

atom x



"Anisotropy shift"  $\Delta \sigma = -H'/H_0 = \Delta \chi_z (1 - 3\cos^2\theta)/3R^3$ 

If  $\Delta \chi_z < 0$ ;  $3R^3 > 0$ ; The sign of  $\Delta \sigma$  depends on the value of  $(1 - 3\cos^2\theta)$ When  $\theta = 55^{\circ}44$ ,  $\Delta \sigma = 0$  $\Delta \sigma > 0 \rightarrow +$  up-field shift (shielding)  $\Delta \sigma < 0 \rightarrow -$  down-field shift (deshielding)

► If 
$$\chi_{xx} \neq \chi_{yy} \neq \chi_{zz}$$
  
 $\Delta \chi_z = \chi_{zz} - \chi_{yy}$   
 $\Delta \chi_x = \chi_{xx} - \chi_{yy}$   
 $\Delta \sigma = \Delta \sigma_z + \Delta \sigma_x$ 

 $\Delta \chi < 0$  when  $\chi_{zz} > \chi_{xx}$  or  $\chi_{yy}$ 

(a)  $C \equiv X$  The shielding zone (cone)



Figure 4.8 Schematic representation of the magnetic anisotropic effect of the triple bond



e.g.



(b) C–X



(c) C–C







$$\theta_{ax} = 67^{\circ}$$
  
 $r_{ax} = 2.35 \text{ Å}$ 
  
 $\theta_{eq} = 30^{\circ}$ 
  
 $r_{eq} = 2.80 \text{ Å}$ 

FIGURE 3.24 Deshielding of equatorial proton of a rigid six-membered ring.





cf.

CO<sub>2</sub>H CH<sub>3</sub> 1.15











cholesterol



5α-cholestanol

5 1.27 H<sub>3</sub>C、

CH<sub>3</sub> 1.65  $\alpha$ -pinene





2







0.83











1.95

1.77





<sup>(5)</sup> Deshielding



– **0.57 ppm** 









## (g) "Quinolizidine" type



Axial hydrogens  $\alpha$  to N are shielded by  $\sim 1$  ppm relative to the equatorial hydrogens

# (h) Interatomic currents: Ring currents



 $\Delta \chi \text{ (benzene)} = -96 \text{ x } 10^{-30} \text{ cm}^3 \cdot \text{esu}$  $\Delta \sigma = -\text{H}^3/\text{H}_0 = \Delta \chi_z (1 - 3\cos^2\theta)/3\text{R}^3$ 

Magnetic field induced by ring currents in the benzene rings



Examples



2



[10]-paracyclophane

③ J. Am. Chem. Soc. 1967, 89, 5458.



(4) Aromatic  $(4n+2)\pi$  system

The shielding effect of the ring current is proportional to the area of the ring.





<sup>(5)</sup> Doubly-bridged [16] annulene

Tetrahedron Lett. 1982, 23, 1221; 1985, 26, 3087; 1985, 26, 3091.



**Figure 4.13** Schematic comparison of n.m.r. spectra of the annulenes 25 and 27 with 14 and 16  $\pi$ -electrons, respectively

# (i) Shielding effect by three-membered rings

(1) Cyclopropane ring



# Examples

1





2

0.30 ppm up-field shifted







3

5















# (2) Other three-membered rings





## 5.2 Proton Chemical Shifts in Aromatic Molecules Substituted Benzenes

Spectroscopy for Organic Molecules Myong Ji Univ., Dept. of Chem.

Proton chemical shifts in aromatic molecules

Substituted benzenes

 $\delta_{\text{Ar-H}} = 7.27 + \Sigma P_{\text{i}}$ 

#### Table for the Pi values

subst.	Pio	Pi <sup>m</sup>	P <sub>i</sub> P	subst.	Pio	P <sub>i</sub> m	P <sub>i</sub> P
NHMe	-0.9	-0.2	-0.7	Br	0.22	-0.1	-0.05
NH <sub>2</sub>	-0.8	-0.25	-0.65	C (sp)	0.2	-0.05	-0.05
NMe <sub>2</sub>	-0.65	-0.2	-0.65	Ph	0.2	0.05	-0.05
OH	-0.5	-0.1	-0.5	CN	0.25	0.2	0.3
OMe	-0.5	-0.1	-0.45	Ι	0.4	-0.25	0
OR	-0.35	-0.05	-0.3	NHCOR	0.4	-0.2	-0.3
F	-0.3	0	-0.25	N+H3	0.4	0.2	0.2
Me	-0.15	-0.1	-0.15	COAr	0.45	0.1	0.2
CMe <sub>3</sub>	-0.1	0	-0.25	CHO	0.55	0.2	0.3
OCOPh	-0.1	0.05	-0.1	COR	0.6	0.1	0.2
SH	-0.05	-0.1	-0.2	COOR	0.7	0.1	0.2
Cl	0	-0.05	-0.1	CONH <sub>2</sub>	0.7	0.2	0.25
SR	0.1	-0.1	-0.2	COCl	0.8	0.2	0.35
C (sp²)	0.15	0	-0.15	COOAr	0.9	0. <b>1</b> 5	0.25
OCOR	0.2	-0.1	-0.2	NO <sub>2</sub>	0.95	0.25	0.4

#### Examples



#### Heteroaromatics

1.  $\alpha$ -Protons are strongly up-field shifted by the heteroatom.

2. Asymmetric electron distribution: electron density is higher near the heteroatom, which lower the aromaticity

		б ррт	$\rho_{exp}$
		(relative to	
		benzene)	
ĥ	H-2	-1.31	0.91
4 3 H	Н-3	+ 0.16	1.01
N 2 H	H-4	- 0.26	0.98
••			

#### 5.3 Carbonium Ions, Carbanions and Related Systems

#### **Carbonium ions**

$$R-X \xrightarrow{SbF_5} R^{(+)} + SbXF_5^{(-)}$$



#### Carbanions

# Chemical shift (δppm)

М	Li	Mg	Zn	Al	Hg
α	-1.08	-0.68	0.15	-0.20	1.01
β	1.35	1.39	1.47	1.25	1.58
e.n. of M	1.0	1.2	1.5	1.5	1.9
	Ionic				Covalency

e.n.: electronegativity

2



more covalent character



 Table 4.1
 Proton resonances in carbocations and carbanions

#### 6. Non-first-order Spin Systems

6-1. Nomenclature of the spin system

A<sub>3</sub> (singlet)

H<sub>3</sub>C–X

A<sub>2</sub>X<sub>3</sub> (quartet)(triplet)  $-OCH_2CH_3$ 

 $A_2M_2X_3$  or  $A_2X_2Y_3$  (triplet)(sextet)(triplet) -OCH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>

 $A_6$  (singlet) or  $A_3B_3$  (2 singlet)  $\overset{H_3C}{\underset{H_3C}{\succ}} \overset{R}{\underset{R'}{\succ}}$ 

A<sub>9</sub> (singlet)

 $AX_6$  or  $AX_3Y_3$  (doublet or 2 doublet for Me) H₃C ́ Н₃С Н

#### For first-order spectra

(i) Chemical shift separation >> the coupling constant

(ii) Magnetically equivalent nuclei

The Pascal Triangle for I = 1/2

#### Magnetically equivalent nuclei vs. chemically equivalent nuclei

Magnetically equivalent nuclei  $\xrightarrow{\frown}$  Chemically equivalent nuclei

CH<sub>2</sub>F<sub>2</sub>  $\begin{array}{c} H_{1} \\ H_{2} \\ H_{2} \\ H_{2} \\ H_{4} \\ \end{array} \begin{array}{c} J_{1,3} = J_{2,3} \\ J_{1,4} = J_{2,4} \\ J_{1,4} = J_{2,4} \end{array}$ A<sub>2</sub>X<sub>2</sub> (magnetically equivalent)



Figure 2.21 Proton magnetic resonance spectrum of (a) difluoromethane, (b) 1,1-difluoroethylene (after Ref. 2), and (c) furan



## 6.2 Two-Spin Systems

# Δν (Ha,Hb) /J (Ha,Hb)

AB

AX



AA'

 $A_2$ 

**FIGURE 3.28** A two-proton system, spin coupling with a decreasing difference in chemical shifts and a large J value (10 Hz); the difference between AB and AX notation is explained in the text.

#### The AB System



**Figure 5.5** Dependence of the AB system on the ratio  $J/v_0\delta$ ; spectra illustrated are for values of  $J/v_0\delta$  of (a) 1:3, (b) 1:1, (c) 5:3, and (d) 5:1.



Suppose  $(v_A + v_B)/2 = X$ ,  $v_A - v_B = \{(v_1 - v_4)(v_2 - v_3)\}^{1/2} = Y$ Then  $v_A + v_B = 2X$ ,  $v_A - v_B = Y$ Solve the two equations for  $v_A$  and  $v_B$   $v_A = (2X + Y)/2$   $v_B = (2X - Y)/2$   $\therefore v_A = (v_A + v_B)/2 + \{(v_1 - v_4)(v_2 - v_3)\}^{1/2}/2$  $v_B = (v_A + v_B)/2 - \{(v_1 - v_4)(v_2 - v_3)\}^{1/2}/2$  Even if one of the two protons is hidden, exact parameter can be determined.e.g.



Suppose  $(v_2 - v_3) = X$ Then  $(v_2 - v_3) / (v_1 - v_4) = X / (X + 2J) = I_1 / I_2 = I_4 / I_3 = 6 / 10$ Since J = 15.5 Hz, therefore X = 3J = 46.5 Hz

# Some examples of the AB system



#### 6.3 Three-Spin Systems

(1)  $\rightarrow AX_2$  $AB_2 -$ A<sub>3</sub> AB<sub>2</sub> Α **X**2 A<sub>3</sub> OEt OH  $\frac{\Delta v(A,B)}{J(A,B)}$ NO<sub>2</sub>  $\frac{\Delta v(A,B)}{J(A,B)} \longrightarrow \infty$ CI CI 0<sub>2</sub>N. - 0



e.g.

 $v_3 = 0, v_4 = 6.6 \text{ Hz}, v_5 = 19.3 \text{ Hz}, v_7 = 25.6 \text{ Hz}, v_8 = 27.7 \text{ Hz}$ Using the equation 4,  $6.6 + 27.7 = (v_B - v_A) + 3J_{AB}/2$ According to the equations 1 and 2,  $(v_B - v_A) = (19.3 + 25.6) / 2 = 22.5$ Therefore  $J_{AB} = 7.86 \text{ Hz}$ 

**Exercise 5.10** Analyse the AB<sub>2</sub> spectrum below and determine the parameters  $v_{A, v_B}$ , and  $J_{AB}$ .



(2) ABX System: normally 12 peaks and maximum 15 peaks  $|v_X - v_A| >> J_{AX};$   $|v_X - v_B| >> J_{BX}$ 



**Figure 5.17** Dependence of the ABX system on the parameter  $v_A - v_B$ : left, the AB portion; right, the X portion. The following parameters apply in all examples:  $J_{AB} = 15.7$  Hz;  $J_{AX} = 0$  Hz; and  $J_{BX} = 7.7$  Hz. The relative chemical shifts  $v_0\delta$  (AB) amount to (a) 56.7 Hz, (b) 18.7 Hz, (c) 5.0 Hz, and (d) -0.6 Hz. Experimental data from 2-furfuryl-(2)-acrolein form the basis for the calculated spectra (after Ref. 3)

#### **Examples of ABX system**



**Peaks Analysis** 





1. 
$$\mathbf{J}_{AB} = \mathbf{v}_3 - \mathbf{v}_1 = \mathbf{v}_4 - \mathbf{v}_2 = \mathbf{v}_7 - \mathbf{v}_5 = \mathbf{v}_8 - \mathbf{v}_6$$

$$2. v_{12} - v_9 = \mathbf{J}_{\mathbf{A}\mathbf{X}} + \mathbf{J}_{\mathbf{B}\mathbf{X}}$$

3. 
$$v_i - v_{ii} = (J_{AX} + J_{BX}) / 2$$

 $v_i$ ,  $v_{ii}$ : center of the two AB's

4. 
$$\mathbf{v}_{\mathbf{A}} = (\mathbf{v}_{\mathbf{A}'} + \mathbf{v}_{\mathbf{A}''}) / 2$$
,  $\mathbf{v}_{\mathbf{B}} = (\mathbf{v}_{\mathbf{B}'} + \mathbf{v}_{\mathbf{B}''}) / 2$ 

### Analysis of ABX assuming two AB sub-spectra

$$\begin{split} \nu_{i}, \nu_{ii}: \text{ center of the two AB's} \\ \Delta_{i} &= \nu_{A'} - \nu_{B'}, \quad \Delta_{ii} &= \nu_{A''} - \nu_{B''} \\ J_{AX} \cdot J_{BX} &> 0 \\ \nu_{A} &= (\nu_{i} + \nu_{ii})/2 + (\Delta_{i} + \Delta_{ii})/4 \\ \nu_{B} &= (\nu_{i} + \nu_{ii})/2 - (\Delta_{i} + \Delta_{ii})/4 \\ J_{AB} &= (\nu_{i} - \nu_{ii}) + (\Delta_{i} - \Delta_{ii})/2 \\ J_{BX} &= (\nu_{i} - \nu_{ii}) - (\Delta_{i} - \Delta_{ii})/2 \\ J_{AX} \cdot J_{BX} &< 0 \\ \nu_{A} &= (\nu_{i} + \nu_{ii})/2 + (\Delta_{i} - \Delta_{ii})/4 \\ \nu_{B} &= (\nu_{i} + \nu_{ii})/2 - (\Delta_{i} - \Delta_{ii})/4 \\ J_{AB} &= (\nu_{i} - \nu_{ii}) + (\Delta_{i} + \Delta_{ii})/2 \\ J_{BX} &= (\nu_{i} - \nu_{ii}) + (\Delta_{i} + \Delta_{ii})/2 \\ J_{BX} &= (\nu_{i} - \nu_{ii}) - (\Delta_{i} + \Delta_{ii})/2 \end{split}$$



**Figure 5.10** The ABX system with the parameters  $v_0\delta$  (AB) = 5.0 Hz,  $J_{AB} = 8$  Hz,  $J_{AX} = 4.2$  Hz and  $J_{BX} = 1.8$  Hz. The ab subspectra in the AB portion are identified by the open and closed circles. The parameters used are those of 2-chloro-3-aminopyridine (after Ref. 1)



Figure 5.11 The ABX spectrum of 4-bromo-3-t-butylcyclopentene-2-one (91) (after Ref. 2): (a) AB portion, relative line frequencies in Hz; (b) X portion (in this case this lies at lower field); 60 MHz

In ABX system

If  $(v_A - v_B)$  gets large then it becomes **AMX** system.

If  $v_X$  comes close to  $v_A$ ,  $v_B$  then it becomes **ABC** system.

One can analyze **ABX** system as **AMX** system (first-order system)



			First-order analysis J	Observed J
	(a) $J_{AX} \cdot J_{BX} > 0$	J <sub>AB</sub>	7.35 Hz	7.35 Hz
H <sub>B</sub> CH <sub>3</sub>		J <sub>AX</sub>	4.68 Hz	5.0 Hz
H <sub>X</sub> N CH <sub>3</sub>		$J_{\rm BX}$	1.62 Hz	1.3 Hz
	(b) $J_{AX} \cdot J_{BX} < 0$	J <sub>AB</sub>	7.35 Hz	7.35 Hz
		J <sub>AX</sub>	4.45 Hz	5.0 Hz
		J <sub>BX</sub>	0.75 Hz	-1.3 Hz

AMX system



# Virtual coupling



Even though  $H_X$  is coupled only to  $H_A$ ,  $H_X$  consist of more than two lines (four lines)

(3) ABC System: Maximum 15 lines

 $H_B$   $H_A$  No simple algebraic expressions.  $H_C$   $CO_2H$ 

Examples



6.4 Four-Spin Systems





# (3) AA'XX': 24 lines

Normally 20 lines are observed

AA': Chemically equivalent but magnetically nonequivalent



## (4) **AA'BB'**

If  $\Delta v_{AX}$  becomes small, AA'XX' becomes AA'BB'. 24 lines are observed.



#### 7. Nuclear Overhauser Effect (NOE)

**Change in NMR intensity** via change in Boltzmann distribution of a nuclear spin by a **dipolar mechanism upon saturation of a second interacting nuclear spin.** 

Dipolar mechanism maintains the relaxation  $(T_1)$ , thereby increasing ground state population  $\rightarrow$  increased intensity.

#### Explanation



Energy levels of an AX spin system

 $W^{A}$ : transition probability of spin A  $W^{X}$ : transition probability of spin X  $W_{2}$ : transition probability between (1) and (4) (double quantum jump) or relaxation – important when  $T_{1}^{DD}$ is important.

 $W_0$ : transition probability between (2) and (3) (zero quantum jump) or relaxation.

Irradiation of X will saturate the transition  $2 \rightarrow 1$  and  $4 \rightarrow 3$ . Therefore P<sub>1</sub> = P<sub>2</sub>, P<sub>3</sub> = P<sub>4</sub> (P: population of each energy level).

Assuming that Before irradiation,  $P_2 \approx P_3 = C$ ,  $P_2 - P_1 = P_4 - P_3 = \Delta$ Before irradiation  $P_1 = C - \Delta$   $P_2 = C$   $P_3 = C$   $P_4 = C + \Delta$   $\therefore P_4 - P_1 = 2\Delta$ After irradiation  $P_1 = C - \Delta/2$   $P_2 = C - \Delta/2$   $P_3 = C + \Delta/2$   $P_4 = C + \Delta/2$  $\therefore P_4 - P_1 = \Delta$ 

Now,  $W_2$  process increases  $P_4$  and decrease  $P_1$ , thereby restoring the population difference to its equilibrium value, which enhances transition of A (increased intensity of nuclei A).

 $W_2$  is very effective when  $T_1^{DD}$ (dipole-dipole) predominates.

There is a fractional enhancement of the A signal.

$$f = \frac{W_2 - W_0}{2W^A + W_2 + W_0} \left(\frac{\gamma_X}{\gamma_A}\right)$$
  
maximum limit:  $\left(\frac{\gamma_X}{\gamma_A}\right)/2$  saturated or irradiated nuclei  
observed nuclei

For proton, maximum N.O.E. = 50%; for carbon maximum N.O.E. = 200%







 $DMSO(d_6)$  is the best solvent for N.O.E. measurement. Solvent should be degassed, and preferably be sealed.

6 Three & erythro isomers of  $\alpha$ -glycols (J. Am. Chem. Soc. 1972, 94, 2865).



Negative N.O.E. in multi-spin system



**Exercise 2.13.** Figure 2.28 shows the 80 MHz <sup>1</sup>H n.m.r spectrum of 2,4-dinitrophenyl-2-pyridylsulphide. Assign the protons to the structural formula given and estimate the coupling constants.

